

Electronic Acknowledgement Receipt

EFS ID:	6889021
Application Number:	09930786
International Application Number:	
Confirmation Number:	3301
Title of Invention:	AUTOMATED FINANCIAL PORTFOLIO COACHING AND RISK MANAGEMENT SYSTEM
First Named Inventor/Applicant Name:	Ronald E. Sloan
Customer Number:	81331
Filer:	Maura Kathleen Moran./Christine Shayda
Filer Authorized By:	Maura Kathleen Moran.
Attorney Docket Number:	10761.0304-01
Receipt Date:	01-FEB-2010
Filing Date:	15-AUG-2001
Time Stamp:	17:07:19
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
------------------------	----

File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Foreign Reference	JP2001209721.pdf	2356687 8b2d27ace309a28d56e6f3ca41f5201ac438d04f	no	8

Warnings:

Information:

2	Foreign Reference	JP10093729.pdf	492288	no	10
			d88f8c209b82b4c1ca3c9e0f16ba900580c4a041		
Warnings:					
Information:					
3	Foreign Reference	WO0122253.pdf	1661976	no	56
			e172f50eab685e486547f65159f5c853a1bfd97f		
Warnings:					
Information:					
4	Foreign Reference	WO9814902.pdf	1447027	no	49
			cc61b632299806409321dfbce44f34139da05a4d		
Warnings:					
Information:					
5	Foreign Reference	WO9838558.pdf	2416167	no	60
			8aac9f6cfa608d24f4ba3355d40c9f39298afc31		
Warnings:					
Information:					
6	NPL Documents	GECenterForFinancialLearning_2001.pdf	269397	no	3
			df8194a1b8460d136937f2528f3c6b65b835d584		
Warnings:					
Information:					
7	NPL Documents	Bacchus_1996.pdf	3431499	no	8
			987b32b9a9994668f557d90fbdacb628c8f2c74f		
Warnings:					
Information:					
8	NPL Documents	Bellone_1996.pdf	314128	no	6
			ed689e436d19661380cdb19501a32dee394a9185		
Warnings:					
Information:					
9	NPL Documents	Booker_1999_Think-Tank.pdf	205893	no	3
			d7f53cb960dbcac88de2b4a4b2a2ff2b579b4f5		
Warnings:					
Information:					
10	NPL Documents	Carey_1998.pdf	262741	no	4
			f892d50aec95d387acdb59ad6adf4571eda33ea7		
Warnings:					
Information:					

11	NPL Documents	Dayco-from-0366-00.pdf	77992	no	2
			a43d09521e3a8a7464b4a3c32903123506530b0d		
Warnings:					
Information:					
12	NPL Documents	Deb_1999.pdf	666835	no	8
			4ca8ab3c52c43712aa622e30fc75b51bdd1f3d6d		
Warnings:					
Information:					
13	NPL Documents	Epstein_2000_Converging-Future.pdf	413926	no	8
			075a518b9df4eae2cf6f3a4999b41f21c094f54		
Warnings:					
Information:					
14	NPL Documents	EP1228470_00976918_Article96-2_10-24-02.pdf	134346	no	3
			026fbf2ea140513a05e031e39b3543c8708e74ff		
Warnings:					
Information:					
15	NPL Documents	EP1269386_01927371_Article96-2_06-05-03.pdf	377011	no	9
			fe85c5f62ac9e1e80df3206f29189ee3c222ee95		
Warnings:					
Information:					
16	NPL Documents	EP1269386_01927371_DecRefuse_03-17-05.pdf	588559	no	12
			742bf34a289fb4cdf48c94f73dcaa21bdf695bed		
Warnings:					
Information:					
17	NPL Documents	EP1269386_01927371_Minutes_03-17-05.pdf	966169	no	22
			d5f3d451fc117a5382c405ce60d96d756886fde5		
Warnings:					
Information:					
18	NPL Documents	EP1269386_01927371_Summons_10-27-04.pdf	302928	no	7
			22094963df20c651a82ac20010df1443f88d6a26		
Warnings:					
Information:					
19	NPL Documents	EP1419468_02759326_Article96-2_09-19-05.pdf	220545	no	6
			1936a0f85756d2b56527412b2ab99265cf24d934		
Warnings:					
Information:					

20	NPL Documents	EP1419468_02759326_Minutes_12-10-09.pdf	452414 8b385ce2da7baee858d65b2d760ce93a6e143232	no	10
Warnings:					
Information:					
21	NPL Documents	EP1419468_02759326_Summons_01-28-09.pdf	262368 de87fa2a1b6863654a868b735da503c7fd989ff1	no	7
Warnings:					
Information:					
22	NPL Documents	EP1423772_02765975_SR_08-02-06.pdf	68919 2cc185e73692da44d0f24c6c4e224e2e24fa7b75	no	3
Warnings:					
Information:					
23	NPL Documents	EP1423813_02765976_Article96-2_02-10-05.pdf	179895 c1f20c01117c38fc5ba2ae106e82e0125dfd1db3c	no	4
Warnings:					
Information:					
24	NPL Documents	EP1423813_02765976_Decl-Rule45_07-01-04.pdf	76390 837f367c5982da9a5558c0f98198770d70b46f0a	no	2
Warnings:					
Information:					
25	NPL Documents	EP1423813_02765976_DecRefuse_01-21-08.pdf	670263 b45e24a01a43fa24d0f28faaf3f08fd0b1a05e47	no	13
Warnings:					
Information:					
26	NPL Documents	EP1423813_02765976_Minutes_01-21-08.pdf	1012776 823a350c3a86c47684bf0363e517a1f4dcad16c4a	no	25
Warnings:					
Information:					
27	NPL Documents	EP1423813_02765976_Consult_11-23-07.pdf	98536 d2bae08fc200573e3d8085ebd7a7d3644dd12e72	no	3
Warnings:					
Information:					
28	NPL Documents	EP1423815_02794694_Decl-Rule45_10-29-04.pdf	321602 d6889a74ee24db4705e595cb8a732af867027a19	no	2
Warnings:					
Information:					

29	NPL Documents	EP1435033_02802758_Decl-Rule45_03-27-06.pdf	52558 191e1e596d196a2b98535344f4c0e8a898d947e0	no	2
Warnings:					
Information:					
30	NPL Documents	EP1435033_02802758_Minutes_06-30-09.pdf	337051 f9655a8cf21747081355fd14ecfef2b55777268d	no	8
Warnings:					
Information:					
31	NPL Documents	EP1435033_02802758_Summons_03-05-09.pdf	329104 bd260520fe3c95b042deacd4065cd67e16a049c5	no	7
Warnings:					
Information:					
32	NPL Documents	Fano_1996_Dialog-File-35.pdf	56814 be36bb0688d224716c7bf325230ec7276f29f9b6	no	1
Warnings:					
Information:					
33	NPL Documents	Fano_1998_Dialog-File-2.pdf	84332 2146cdlbbc71d1103583518cf3ce347cb7278a1d9	no	2
Warnings:					
Information:					
34	NPL Documents	Fischer_1991.pdf	18912279 f005817dddb0762206df0af27f57b0a3a5f3bd42	no	72
Warnings:					
Information:					
35	NPL Documents	WO0133467_PCTUS0030389_Decl_Art17-2.pdf	132412 c80ef17ca8bfa85f5bbc87ddcf21566471385b88	no	3
Warnings:					
Information:					
36	NPL Documents	WO0133467_PCTUS0030389_I_PER.pdf	171592 fa0e07f570e224839606c1c13d7951c46109496f	no	4
Warnings:					
Information:					
37	NPL Documents	WO0133402_PCTUS0030423_Decl_Art17-2.pdf	125545 d504340f554a0bc40b395c1418c946864cbb471a	no	3
Warnings:					
Information:					

38	NPL Documents	WO0133402_PCTUS0030423_I PER.pdf	145188 <div>c2d75971bcc4799bfb411f238c749e5f22f131a1</div>	no	3
Warnings:					
Information:					
39	NPL Documents	WO0137187_PCTUS0041850_D ecl_Art17-2.pdf	132809 <div>cd1b73f1a9429ae594d4670f6451d6ae642fcabf</div>	no	3
Warnings:					
Information:					
40	NPL Documents	WO0137187_PCTUS0041850_I PER.pdf	140888 <div>8b1066ec44621cd29c1189b28a2896ac813f45ad</div>	no	3
Warnings:					
Information:					
41	NPL Documents	WO0133474_PCTUS0041852_D ecl-Art17-2.pdf	134390 <div>897b251cdacb78ce152330f219c05c1b5032c971</div>	no	3
Warnings:					
Information:					
42	NPL Documents	WO0133474_PCTUS0041852_I PER.pdf	160633 <div>9289a66567b8d6595140c38ae2a6ea9f86098a03</div>	no	3
Warnings:					
Information:					
43	NPL Documents	WO0167334_PCTUS0041855_D ecl_Art17-2.pdf	132773 <div>b90c9c161044854c1ed430620bc7b6aaf35dbfad</div>	no	3
Warnings:					
Information:					
44	NPL Documents	WO0167334_PCTUS0041855_I PER.pdf	177656 <div>28c82b4dc4f4223258858a09cb538594d80b66fa</div>	no	4
Warnings:					
Information:					
45	NPL Documents	WO0133476_PCTUS0041872_D ecl_Art17-2.pdf	136535 <div>8fc2667facf12a5586cfad8048f6618827d5df49</div>	no	3
Warnings:					
Information:					
46	NPL Documents	WO0133476_PCTUS0041872_I PER.pdf	149938 <div>2a00398d7b08fb7f40143edc574e78396e82a4ab</div>	no	3
Warnings:					
Information:					

47	NPL Documents	WO0165907_PCTUS0140213_Decl_Art17-2.pdf	305828 03479ce4e14fa0f9a781ff334c0e7ce503b715fe	no	5
Warnings:					
Information:					
48	NPL Documents	WO0165907_PCTUS0140213_IPER.pdf	144623 92990f233de5cd17e80c5570f7b1ae6c51e67105	no	3
Warnings:					
Information:					
49	NPL Documents	WO0165907_PCTUS0140213_WOpin.pdf	113128 a4d743ece16fccfc548b011eb7f33b7036afa41	no	3
Warnings:					
Information:					
50	NPL Documents	WO0167340_PCTUS0140214_IPER.pdf	136855 8c64d627acbc4e9df5aa4ac04636ff1f1b793a	no	3
Warnings:					
Information:					
51	NPL Documents	WO0167340_PCTUS0140214_ISR.pdf	151027 1a0a697ff100c62d0d3295cd9ea424d88986daf2	no	5
Warnings:					
Information:					
52	NPL Documents	WO0317040_PCTUS0225488_IPER.pdf	252225 7143bc6612e865c5e8100f3476e4b01bf307590e	no	5
Warnings:					
Information:					
53	NPL Documents	WO0317040_PCTUS0225488_ISR.pdf	140826 ff04e07e9357b8a7b1dd7f90e26b6f375a593849	no	4
Warnings:					
Information:					
54	NPL Documents	WO0314884_PCTUS0225489_IPER.pdf	178011 b22daf3a92b4196ab3a6d18149a9fb59ece1de8a	no	4
Warnings:					
Information:					
55	NPL Documents	WO0314884_PCTUS0225489_ISR.pdf	123098 f6b79e70b408026057f492ece802bb7dc56599ef	no	3
Warnings:					
Information:					

56	NPL Documents	WO0317041_PCTUS0225491_I PER.pdf	140752 53d29b1960c9ca0aeeb0561da42c70dc416 9ea0a	no	3
Warnings:					
Information:					
57	NPL Documents	WO0317041_PCTUS0225491_IS R.pdf	119372 d9173a5b0fbc9082874a5b40a470e475d68 dc5b6	no	3
Warnings:					
Information:					
58	NPL Documents	EP1228473_00991933_Article9 4-3_02-16-09.pdf	203110 683b93e76c5986bd447113ae349e866b5b dde21c	no	5
Warnings:					
Information:					
59	NPL Documents	EP1423815_02794694_Article9 6-2_07-20-06.pdf	110181 2929dd10f3ef067b3609cb78865f4ee54e86 2f89	no	3
Warnings:					
Information:					
60	NPL Documents	EP1264245_01927370_Article9 6-2_08-06-07.pdf	137350 2c12abfe5447b48bc1c0a9912bd221fb210 219d6	no	3
Warnings:					
Information:					
Total Files Size (in bytes):			43518160		
<p>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</p> <p><u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</p> <p><u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</p> <p><u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</p>					